

# Thermo-physical and Diffusional Properties of Uranium-Neptunium and Thorium-Neptunium Mixed Oxides

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### ABSTRACT

Burn-up of (U,Pu)O<sub>2</sub> mixed oxide (MOX) fuels generate additional long-lived minor actinides (MAs) in the yield range 0.1-1.0%. The Np and other MAs are a major concern for the storage of spent fuels due to their long-term radiotoxicity and heat generation in the spent fuel. The burn-up of ThO<sub>2</sub>-based MOX generates (Th,U,MA)O<sub>2</sub> and/or (Th,Pu,MA)O<sub>2</sub> MOX which may alter the thermal properties of the fuel. Experimental determination of thermo-physical and diffusional properties of these MOX is difficult due to their radio-toxicity. A multi-pronged atomistic simulation approach consisting of (a) first-principles density functional theory for evaluation of ground state stability, complex magnetic interactions, defect formation energies and elastic properties, (b) Monte-Carlo based approach to exactly model disordered alloys and (c) molecular dynamics calculations for evaluation of diffusional and thermal properties of U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> and Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> MOX has been employed. The generation of thermo-physical and diffusional properties database of Np-containing MOX will help design and fabrication of new fuels and perform safety analysis.

**Keywords:** Thermo-physical parameters, Diffusion, MOX fuel, Atomistic simulations, DFT, Monte-Carlo.

additional advantages of lower radiotoxic nuclear waste generation and easy management and storage of long-lived highly radioactive nuclides, compared to conventional UO<sub>2</sub> based MOX [1-14]. Th<sub>1-x</sub>MA<sub>x</sub>O<sub>2</sub> MOX can be formed in a nuclear reactor from the transmutations of Th<sub>1-x</sub>U<sub>x</sub>O<sub>2</sub> and Th<sub>1-x</sub>Pu<sub>x</sub>O<sub>2</sub> MOX. Hence, a better understanding of U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> and Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> pseudo-binary phase diagrams, including thermodynamic and electronic properties, is highly desirable in order to assess the phase stability of these materials.

Under irradiation, a large number of point defects are produced and many of these point defects recombine instantly during the cascade processes at elevated temperature [15]. In MOX fuels, the production and migration of oxygen vacancies and interstitials are of primary interest because their mobility plays an important role in the micro-structural evolution and the diffusion-controlled formation of defect clusters, bubbles, dislocation loops, and the restructuring of fuels [15-17]. Therefore, it is important to increase our understanding of thermal and diffusional properties of MAO<sub>2</sub>, (U,Pu,MA)O<sub>2</sub> and (Th,U,Pu,MA)O<sub>2</sub> MOXs at high temperatures. This will contribute to better transmutation fuel fabrication and to the prediction of fuel behavior under irradiation and thus leads to improve fuel performance. In this regard, NpO<sub>2</sub> based MOX, is specifically important because of its high yield during the burn-up of fuels. The effect of the addition of MAs in MOX on thermal and diffusional properties has been studied relatively rarely by experimental means because of the radio-toxicity involved in these materials. Even though, the experiments were performed it was limited to specific compositions of MAO<sub>2</sub> and temperature ranges over which the properties were measured. The evaluation of thermal and diffusional properties using atomistic simulations can provide fundamental insights at a level not achievable through experiment alone [7-19]. Present study adopts quantum and classical mechanical based simulation strategies to determine thermodynamical, thermo-physical and diffusional properties of U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> and Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> MOX. Firstly, ground state formation enthalpies of U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> and Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> MOX accounting for their magnetic configurations are calculated using density functional theory (DFT) based simulations. Further, electronic structures and elastic properties of pure oxides and MOX are calculated. The special quasi-random structures (SQS) approach and *U*-ramping method are used to overcome difficulties related to the modeling of random alloys and to achieve the correct ground state, respectively. Secondly, the thermal expansion, thermal conductivity and diffusion properties of U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> and Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> MOX are studied in the 300-2000 K temperature range using static and molecular

### Introduction

Neptunium (<sup>237</sup>Np, half life = 2.14 × 10<sup>6</sup> years), is formed in nuclear fuels as a byproduct, either by α decay of <sup>241</sup>Am or by the (n,γ) reaction of <sup>235</sup>U followed by β emission. In reactors utilizing U<sub>1-x</sub>Pu<sub>x</sub>O<sub>2</sub> mixed oxides (MOX) fuel; NpO<sub>2-x</sub> is generated predominantly along with other minor actinides (MA's) (with a yield of 0.1-1.0%) and gets accumulated due to its long half-life. Moreover, it is an important nuclide for geological disposal of spent fuel generated from open fuel cycle reactor operation, where the spent fuel is stored for the long term. For reactors operating in a closed fuel-cycle mode, spent fuels are reprocessed chemically to isolate MA's, which are reused for energy production in fast reactors as U<sub>1-x-y</sub>Pu<sub>x</sub>MA<sub>y</sub>O<sub>2</sub> MOX. As a result, MA's can be transmuted into less radiotoxic and lighter isotopes, which reduce the long-term radiotoxic impact of these materials. The transmutation of MA's in fast reactors can be performed in homogeneous (2-6 wt.% MAs, homogeneously added to fuel) and heterogeneous modes (10-30 wt.% MAs is added to transmutation targets) [1-5]. Additionally, ThO<sub>2</sub> based MOX (Th<sub>1-x</sub>U<sub>x</sub>O<sub>2</sub> and Th<sub>1-x</sub>Pu<sub>x</sub>O<sub>2</sub>) has emerged as an alternate high performance fuel with

dynamical (MD) simulations with classical empirical potentials as a first step towards the investigation of more complex (U,Pu,Np)O<sub>2</sub> and (Th,U,Pu,Np)O<sub>2</sub> MOX fuels.

## 2. Computational Methodology

In order to calculate the formation energies and the electronic structures of U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> and Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> MOX, *ab initio* total energy calculations are carried out using spin polarised mode of density functional theory (DFT) as implemented in the VASP package. The standard projected augmented wave (PAW) potentials and a plane-wave basis set with a cut off value of 500 eV were used in all cases. The exchange-correlation term was modelled using the generalized gradient approximation (GGA), parameterized by Perdew, Burke and Ernzerhof (PBE). To describe the behaviour of the localised *f* states in Th, U and Np, we included the orbital-dependent Coulomb potential (Hubbard *U*) and the exchange parameter *J*, as formulated by Liechtenstein *et al.* In our calculations *U*=4.5 and *J*=0.5 eV was used as suggested by Kotani *et al.* based on their X-ray photoemission spectroscopic (XPS) analysis of UO<sub>2</sub>. The DFT+*U* calculation method for a magnetic system can lead to several metastable configurations for self-consistent-field solutions. The *U*-ramping method was used in this study to determine electron orbital occupation corresponding to the correct ground state of magnetic system as proposed by Mereding *et al.* In order to determine the true magnetic ground states of UO<sub>2</sub> and NpO<sub>2</sub>, the relativistic spin-orbit interactions (SOI) were also considered in our calculations. In order to study phase stability of U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> and Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> MOX, 96 atom special quasirandom structures (SQS) were used. A 4×4×4, 8×8×4 and 8×8×8 *k* point mesh were used in all calculations of structural optimization for 96, 24 and 12 atoms cells, respectively [17].

The MD simulations to determine thermal properties were carried out using the Large-scale Atomic Molecular Massively Parallel Simulator (LAMMPS) where the Coulombic interactions were calculated using the Ewald method. The empirical interatomic potential model used in this study combines a pair-wise (Buckingham-Morse type) with a many body interaction term. This particular potential model was developed to reproduce experimental thermo-mechanical properties of actinide oxides AcO<sub>2</sub> with An={Ce, Th, U, Np, Pu, Am, Cm} in a temperature range of 300 K to 3000 K and to reproduce the Cauchy violation in the elastic properties. This potential model was later extended to include potential parameters for the MOX compositions of AcO<sub>2</sub>. In the present study, the MD supercell was constructed from 4000 cations and 8000 anions in an array of 10×10×10 unit cells for U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> and Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> and their end members. MD runs were performed with a 1 fs time step over the temperature range 300 K to 3000 K at interval of 25 K, with the NPT ensemble at zero external pressure using the Nosé-Hoover thermostat and barostat with a time constant of 0.1 ps and 0.1 ps, respectively. Each simulation of thermodynamical quantities was carried out initially for 40 ps to establish equilibration (at the desired temperature) and then for another 10 ps to obtain average values of the thermodynamic quantities while heating the system. For calculations of thermal conductivity within LAMMPS, the Green-Kubo (GK) formalism was adopted where an estimate of the transport coefficient relies on the integral of an accurate time-correlation of the equilibrium fluctuations of heat flux in the system [16].

The binding energies and migration barriers were calculated using static calculations in a 10×10×10 supercell. The calculations were performed to determine binding energies of configurations

where the oxygen vacancy is first (1<sup>st</sup> NN) or second (2<sup>nd</sup> NN) nearest neighbor (NN) with respect to the dopant atom. The calculations of migration barrier were performed using the nudged elastic band method as implemented in LAMMPS code in static condition. The migration barriers were calculated for the hopping of oxygen vacancy to a NN site along the <001> direction, which is known to be the lowest barrier migration direction in fluorite-based oxides. In order to determine the diffusion of the oxygen vacancy mean square displacements (MSD) were calculated for 5 ns for a temperature range from 750 K to 2000 K. To capture substantial oxygen diffusion on the limited MD timescale, 1% oxygen vacancies in the supercell was introduced. The charge imbalance in the system was compensated by an equivalent background charge [16].

## 3. Results and Discussions

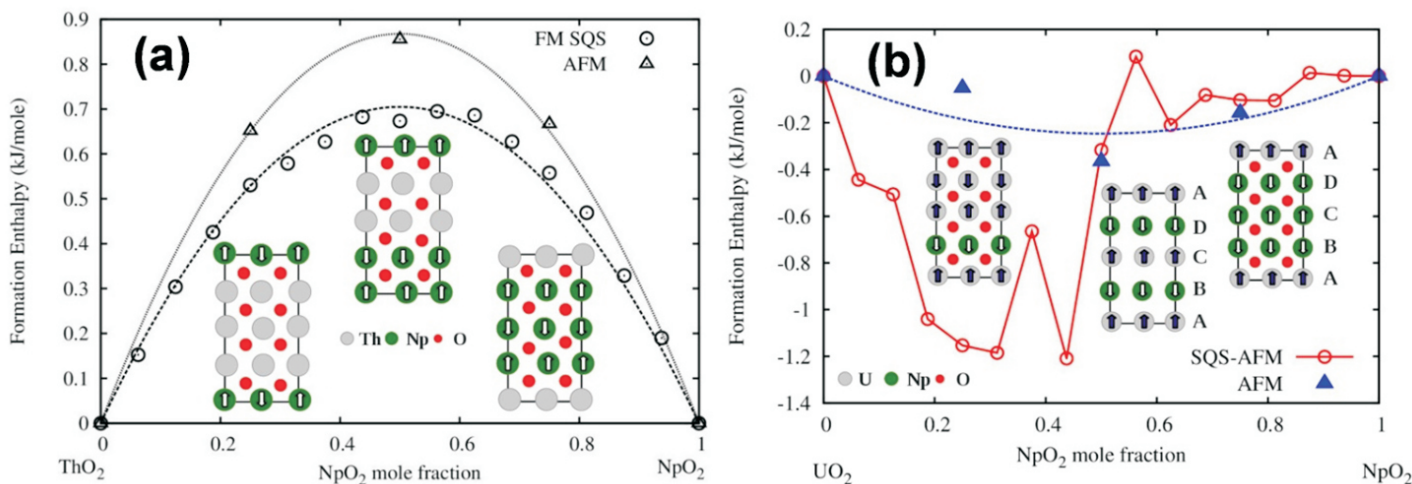
### a) Formation enthalpies of MOX

The variation of structural and electronic properties of UO<sub>2</sub> and NpO<sub>2</sub> with collinear ferromagnetic (FM), collinear anti-ferromagnetic (AFM) and non-collinear AFM arrangements of the uranium and neptunium magnetic moments are investigated while ramping up the *U<sub>eff</sub>* from 0 eV, corresponding to standard density functional theory, upto *U<sub>eff</sub>* = 4 eV, the value that correctly reproduces the AFM ground state of bulk UO<sub>2</sub> and NpO<sub>2</sub> (the so-called *U<sub>eff</sub>*-ramping method). Analysis of structural and electronic properties of UO<sub>2</sub>, NpO<sub>2</sub> and ThO<sub>2</sub> shows that spin-orbit interactions (SOI) had only a small effect on lattice parameters and electronic properties. The effect of FM and collinear AFM ordering is considered in determining the mixing enthalpies ( $\Delta H_{mix}$ ) [17].

**Fig.1(a)** shows  $\Delta H_{mix}$  calculated using SQS in FM and ordered AFM configurations (along [001] direction) of Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> MOX as a function of composition (*x*). The FM-SQS results (circles) are generated using the FM ground state of NpO<sub>2</sub>, while AFM results (triangles) are generated using the AFM ground state of NpO<sub>2</sub>. The calculated  $\Delta H_{mix}$  values are positive and nearly symmetric around *x* = 0.5, suggesting a miscibility-gap phase diagram for fluorite structured random solid solutions, consistent with previous calculations. The  $\Delta H_{mix}$  of the AFM configuration is higher compared to the FM configuration maximum by 0.19 kJ/mole. A quadratic fit of the  $\Delta H_{mix}$  (shown as a dashed curve in Fig.1(a)) approximates Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> MOX system as a regular solid solution. The interaction parameter  $\Omega$  can be obtained from the formula:

$$\Omega = \frac{\Delta H_{mix}}{X(1-x)} \quad (1)$$

and results are  $\Omega$  = 2.82 and 3.47 kJ/mole for SQS-FM and AFM configuration, respectively. Our DFT calculated endothermic interaction parameters are lower compared to experimental value of 15.1±2.2 kJ/mol for ThO<sub>2</sub>-CeO<sub>2</sub> MOX. Although, a lower value (for Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub>) is expected compared to Th<sub>1-x</sub>Ce<sub>x</sub>O<sub>2</sub> considering lower mismatch of ionic radii for ThO<sub>2</sub>-NpO<sub>2</sub> (Th<sup>4+</sup>=1.19 Å, Np<sup>4+</sup>=1.12 Å, 8-fold coordination) compared to ThO<sub>2</sub>-CeO<sub>2</sub> (Th<sup>4+</sup>=1.19 Å, Ce<sup>4+</sup>=1.11 Å, 8-fold coordination). The difference in experimental and theoretical results of  $\Delta H_{mix}$  can also be attributed to vibrational contribution to  $\Delta H_{mix}$  and degree of configurational disorder present in the solid solutions. The vibrational contribution is expected to be negligibly small at room temperature. In our calculation random configurational disorder is assumed and thus neglecting any contributions from short-range order (SRO) which may be present in the experimental solid solutions that are equilibrated at high temperature.



**Fig. 1:** GGA+*U* calculated formation enthalpies of (a)  $\text{Th}_{1-x}\text{Np}_x\text{O}_2$  MOX in FM (open circles) and AFM (open triangles) configurations. Similarly, formation enthalpies of (b)  $\text{U}_{1-x}\text{Np}_x\text{O}_2$  MOX in random AFM (open circles) and ordered AFM (solid triangles) along [001] configurations. The magnetic structures of  $\text{Th}_{1-x}\text{Np}_x\text{O}_2/\text{U}_{1-x}\text{Np}_x\text{O}_2$  ( $x=0.25, 0.50, 0.75$ ) MOX in AFM configurations is shown with small arrows on atoms.

**Fig. 1(b)** shows formation enthalpies of random AFM solid solutions calculated employing SQS and AFM configurations ordered along [001] direction of  $\text{U}_{1-x}\text{Np}_x\text{O}_2$  MOX. The AFM SQS results (circles) are generated using the AFM ground state of  $\text{UO}_2$  and  $\text{NpO}_2$  with the spins of Np and U atoms distributed in such a fashion that the overall spin is zero or a minimum. Because neptunium and uranium atoms have different local spins,  $3 \mu_B/\text{Np}^{4+}$  ion and  $2 \mu_B/\text{U}^{4+}$  ion, the total magnetic moment is not zero for  $\text{U}_{1-x}\text{Np}_x\text{O}_2$  MOX in their ordered AFM states (for  $x = 0$  and 1). For  $\text{U}_{0.75}\text{Np}_{0.25}\text{O}_2$ ,  $\text{U}_{0.50}\text{Np}_{0.50}\text{O}_2$  and  $\text{U}_{0.25}\text{Np}_{0.75}\text{O}_2$  MOX, the effective magnetic moments are  $1.92 \mu_B$ ,  $11.84 \mu_B$  and  $5.95 \mu_B$ , respectively. The  $\Delta H_{\text{mix}}$  of ordered AFM and SQS AFM configurations are negative or very close to zero. For SQS AFM configurations  $\Delta H_{\text{mix}}$  are negative up to  $\text{U}_{0.50}\text{Np}_{0.50}\text{O}_2$  with maximum value of  $-1.21$  kJ/mole at  $\text{U}_{0.4375}\text{Np}_{0.5625}\text{O}_2$  while Np-rich  $\text{U}_{1-x}\text{Np}_x\text{O}_2$  MOX has  $\Delta H_{\text{mix}}$  values close to zero ( $< -0.2$  kJ/mol). The  $\Delta H_{\text{mix}}$  versus concentration curve is not smooth showing small jumps at some specific compositions, which may be due to the slightly higher mismatch of correlation functions of generated SQS structures at these compositions with those of their ideal-random alloy counterparts. The fitting of equation (1) to our DFT calculated  $\Delta H_{\text{mix}}$  values for AFM configurations (shown by dashed line in Figure 1(b)) gives  $\Omega = -0.985$  kJ/mole. High temperature oxide melt solution calorimetry measured  $\Delta H_{\text{mix}}$  values are zero within experimental error bar for  $\text{Th}_{1-x}\text{U}_x\text{O}_2$  and  $\text{U}_{1-x}\text{Ce}_x\text{O}_2$  MOX systems. The negative values of  $\Delta H_{\text{mix}}$  in the U-rich  $\text{U}_{1-x}\text{Np}_x\text{O}_2$  MOX is corroborating with the fact that long range AFM ordering is present in single crystal  $\text{U}_{0.75}\text{Np}_{0.25}\text{O}_2$  below  $T_N = 17$  K.

## b) Thermal Expansion

**Fig. 2(a)** shows the increase of lattice parameter as a function of temperature for  $\text{Th}_{1-x}\text{Np}_x\text{O}_2$  ( $x=0, 0.065, 0.125, 0.25, 0.50, 0.75$  and  $1.00$ ) and  $\text{U}_{1-x}\text{Np}_x\text{O}_2$  ( $x=0, 0.065, 0.125, 0.3125, 0.50, 0.6875$  and  $1.0$ ). High temperature XRD data for  $\text{ThO}_2$ ,  $\text{UO}_2$  and  $\text{NpO}_2$  are also included in the figure. The overall decrease in lattice parameters compares well with experimental data, which follow Vegard's law. This is demonstrated by fitting our MD calculated lattice parameters to a straight line equation:  $a(\text{U}_{1-x}\text{Np}_x\text{O}_2) = 5.4699 - 0.0359x$ ,  $1 < x < 0$ , since it matches well with Vegard's law of the form  $a(\text{U}_{1-x}\text{Np}_x\text{O}_2) = 5.4702 - 0.0364x$ ,  $1 < x < 0$ , fitted to the experimental lattice parameters of  $\text{UO}_2$  and  $\text{NpO}_2$  ( $5.4702 \text{ \AA}$  and  $5.4338 \text{ \AA}$ , respectively). Thermal expansion coefficients ( $\alpha$ ) (in  $300\text{-}1300$  K range) are

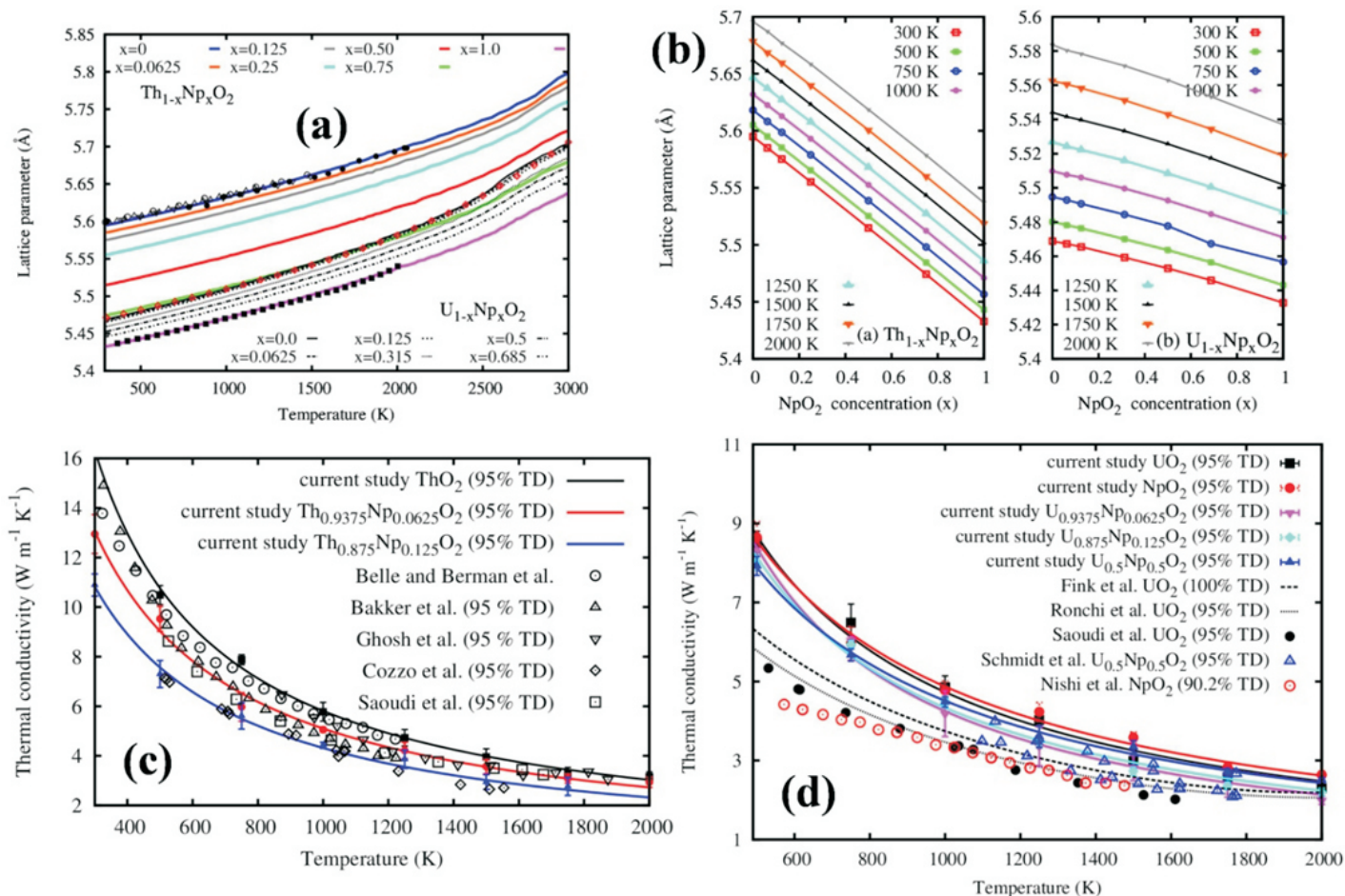
$11.12, 11.08, 11.00, 10.85, 10.77, 10.65, 10.35 \text{ K}^{-1}$  for  $x=0, 0.065, 0.125, 0.3125, 0.50, 0.6875$  and  $1.0$  in  $\text{U}_{1-x}\text{Np}_x\text{O}_2$ , respectively. These values are in good agreement with experimental values of  $10.34, 10.20, 10.18, 10.08$  and  $10.01 \text{ K}^{-1}$  for  $x=0.0, 0.1, 0.5, 0.7$ , and  $1.0$ , respectively. For  $\text{ThO}_2$ , values for  $a_{300}$  and  $\alpha$  match previous high-temperature XRD data with only a 2% deviation. Nevertheless, the calculated value of  $\text{UO}_2$  and  $\text{NpO}_2$  are over predicted by 7.6% and 3.4%, respectively, compared to experimental values. The values of  $a_{300}$  for  $\text{Th}_{1-x}\text{Np}_x\text{O}_2$  decrease with increasing  $\text{NpO}_2$  concentration and the overall decrease in lattice parameters can be represented as:  $a(\text{Th}_{1-x}\text{Np}_x\text{O}_2) = 5.59538 - 0.162021x$ ,  $1 < x < 0$ . It matches well with Vegard's law of the form  $a(\text{Th}_{1-x}\text{Np}_x\text{O}_2) = 5.5967 - 0.0534x$ ,  $1 < x < 0$ , fitted to the experimental lattice parameters of  $\text{ThO}_2$  and  $\text{NpO}_2$  ( $5.5967 \text{ \AA}$  and  $5.4338 \text{ \AA}$ , respectively). The variation of lattice parameters of  $\text{Th}_{1-x}\text{Np}_x\text{O}_2$  and  $\text{U}_{1-x}\text{Np}_x\text{O}_2$  MOX with the variation of  $\text{NpO}_2$  content,  $x$ , is shown in **Fig. 2(b)**. Accompanying the increment of temperature, from  $300$  K to  $2000$  K, the structural parameters of both  $\text{Th}_{1-x}\text{Np}_x\text{O}_2$  and  $\text{U}_{1-x}\text{Np}_x\text{O}_2$  decrease linearly with increasing value of  $x$ . From comparison of the two figures, we can clearly see that the reduction rate of  $\text{Th}_{1-x}\text{Np}_x\text{O}_2$  is greater than  $\text{U}_{1-x}\text{Np}_x\text{O}_2$  MOX, which indicates that the  $\text{NpO}_2$  has a far greater influence on lattice parameters for  $\text{Th}_{1-x}\text{Np}_x\text{O}_2$  than  $\text{U}_{1-x}\text{Np}_x\text{O}_2$  MOX at same value of  $x$ . This phenomenon can be explained by the differences in ionic size of  $\text{Th}^{4+}$  ( $1.19 \text{ \AA}$ ),  $\text{U}^{4+}$  ( $1.14 \text{ \AA}$ ) and  $\text{Np}^{4+}$  ( $1.12 \text{ \AA}$ ) in 8-fold coordination. Further, the variation of lattice parameters (in  $\text{ \AA}$ ) of  $\text{Th}_{1-x}\text{Np}_x\text{O}_2$  and  $\text{U}_{1-x}\text{Np}_x\text{O}_2$  MOX can be presented as a function of  $\text{NpO}_2$  concentration ( $x, 1.0 \geq x \geq 0.0$ ) and temperature ( $T, 2000 \geq T \geq 300$ ):

$$a(\text{Th}_{1-x}\text{Np}_x\text{O}_2) = -0.160777(x) + 4.44721 * 10^{-5}T + 6.87589 * 10^{-9}T^2 + 5.57487$$

$$a(\text{U}_{1-x}\text{Np}_x\text{O}_2) = -0.0397186(x) + 4.56747 * 10^{-5}T + 8.35801 * 10^{-9}T^2 + 5.45702 \quad (2)$$

## c) Thermal Conductivity

**Fig. 2(c)** compares calculated thermal conductivity (TC) of  $\text{Th}_{1-x}\text{Np}_x\text{O}_2$  ( $x=0.0, 0.0625$  and  $0.125$ ) as a function of temperature with experimental values for pure  $\text{ThO}_2$  [6-8]. **Fig. 2(d)** compares calculated TC of  $\text{U}_{1-x}\text{Np}_x\text{O}_2$  ( $x=0.0, 0.0625, 0.125, 0.5$  and  $1.0$ ) as a function of temperature, with experimental values for end members  $\text{UO}_2$ ,  $\text{NpO}_2$  and  $\text{U}_{0.5}\text{Np}_{0.5}\text{O}_2$ . The TC values of  $\text{UO}_2$  and  $\text{NpO}_2$  are quite close across this temperature range. However, classical MD



**Fig.2:** (a) The variation of calculated lattice parameters for  $\text{Th}_{1-x}\text{Np}_x\text{O}_2$  ( $x=0.0, 0.0625, 0.125, 0.25, 0.50, 0.75$  and  $1.0$ ) and  $\text{U}_{1-x}\text{Np}_x\text{O}_2$  ( $x=0.0, 0.0625, 0.125, 0.3125, 0.5, 0.6875$  and  $1.0$ ) as a function of temperature up to 3000 K. Lines present calculated values and points present high temperature XRD values of  $\text{ThO}_2$ ,  $\text{UO}_2$  and  $\text{NpO}_2$ . (b) Lattice constants calculated by MD simulations as a function of  $\text{NpO}_2$  concentration ( $x$ ) for  $\text{Th}_{1-x}\text{Np}_x\text{O}_2$  and  $\text{U}_{1-x}\text{Np}_x\text{O}_2$  solid solution. MD calculated thermal conductivity values (at 95% TD) for (c)  $\text{Th}_{1-x}\text{Np}_x\text{O}_2$  ( $x=0.0, 0.0625$  and  $0.125$ ) and (d)  $\text{U}_{1-x}\text{Np}_x\text{O}_2$  ( $x=0.0, 0.0625, 0.125, 0.5$  and  $1.0$ ) are shown as a function of temperature. Experimental values of  $\text{ThO}_2$ ,  $\text{UO}_2$ ,  $\text{NpO}_2$ , and  $(\text{Np}_{0.5}\text{U}_{0.5})\text{O}_2$  MOX are compared with the calculated values.

calculated TC values of both  $\text{UO}_2$  and  $\text{NpO}_2$  are grossly overestimated at lower temperature ( $< 1000$  K) compared to experimental values. In order to improve the accuracy of the thermal-conductivity predictions for  $\text{UO}_2$ , MD results need be corrected for the spin-phonon-scattering mechanism by adding the corresponding relaxation time derived from existing experimental data as shown by Liu *et al.*

**Fig.2(d)** also indicates a small reduction in TC values for  $\text{U}_{1-x}\text{Np}_x\text{O}_2$ , even at low temperatures, due to reduction in the phonon mean free path coming from scattering associated with a non-uniform cation sublattice. The degradation of the  $\text{UO}_2$  thermal conductivity due to Np substitutional defects is relatively small compared to the addition of Np in  $\text{ThO}_2$ . The calculated TC values of  $(\text{Np}_{0.5}\text{U}_{0.5})\text{O}_2$  are lower than those of pure  $\text{UO}_2$  and  $\text{NpO}_2$  due to higher impurity-phonon scattering at low temperatures ( $< 750$  K). The calculated TC values of  $(\text{Np}_{0.5}\text{U}_{0.5})\text{O}_2$  also match the experiment well throughout the temperature range with maximum deviation of 15%. This is consistent with the experimental observation that the TC of  $\text{NpO}_2$  with 95%TD was close to that of  $(\text{Np}_{0.5}\text{U}_{0.5})\text{O}_2$  above 1098 K. At higher temperatures (above 750 K), the TC values are almost independent of the  $\text{NpO}_2$  concentration and the TC values for  $\text{UO}_2$ ,  $\text{NpO}_2$  and  $(\text{U,Np})\text{O}_2$  MOX almost superimpose at high temperatures [16].

**Table 1.** A comparison of constants A and B of the equations  $1/k = A + BT$  for  $\text{Th}_{1-x}\text{Np}_x\text{O}_2$  ( $x=0.0, 0.0625$  and  $0.125$ ) and  $\text{U}_{1-x}\text{Np}_x\text{O}_2$  ( $x=0.0, 0.0625, 0.125, 0.5$  and  $1.0$ ) derived from MD simulations and from experimental measurements. The  $C_{PD}$  values are also shown in the table.

	$A(x10^{-2})\text{mKW}^{-1}$	$B(x10^{-4})\text{mW}^{-1}$
<b>ThO<sub>2</sub></b>		
MD, this study	1.282	1.466
Experiment [7]	4.200	2.250
Experiment [6]	1.000	2.300
Experiment [8]	3.170	1.699
$(\text{Th}_{0.9375}\text{Np}_{0.0625})\text{O}_2$ MD, this study	2.356	1.581
$(\text{Th}_{0.875}\text{Np}_{0.125})\text{O}_2$ MD, this study	3.034	1.543
<b>UO<sub>2</sub></b>		
MD, this study	1.896	1.923
Experiment [6]	6.548	2.353
Experiment [6]	6.240	2.399
$(\text{Th}_{0.9375}\text{Np}_{0.0625})\text{O}_2$ MD, this study	2.981	2.32
$(\text{Th}_{0.875}\text{Np}_{0.125})\text{O}_2$ MD, this study	3.045	2.16
$(\text{U}_{0.5}\text{Np}_{0.5})\text{O}_2$ MD, this study	3.213	1.89
<b>NpO<sub>2</sub></b>		
MD, this study	2.861	1.770
Experiment (573-1473K)[29]	9.447	1.797
$C_{\text{Th-Np}} = 4.198 \times 10^{-1}$		
$C_{\text{U-Np}} = 6.674 \times 10^{-3}$		

The calculated TC values of MOX are fitted to the relation of phonon conduction as proposed by Adachi *et al.*:

$$k=1/[xW_{p02}+(1-x)W_{002}+x(1-x)C_{p0}], \quad (3)$$

where  $x$  = fractional concentration of lattice defects in  $P_xQ_{1-x}O_2$ , and  $W_{p02}=A_{p02}+B_{p02}T$  and  $W_{002}=A_{002}+B_{002}T$  are thermal resistivity of end-members. If  $x = 0$  or  $x = 1$ , the above equation becomes

$$k=1/(A+BT) \quad (4)$$

The 'A' and 'B' represent defect-phonon scattering and phonon-phonon scattering contributions, respectively. The  $C_{p0}$  arises due to the random distribution of P and Q atoms on a given sublattice. The influence of substituted impurities on the TC is attributed to an increase in parameter 'A' which is temperature independent. This results from interactions of phonons with lattice imperfections, impurities, isotopic, or other mass differences as well as defects such as grain boundaries and dislocations in the sample. Parameter 'B' can be considered a constant and the second term, namely  $B.T$ , represents the intrinsic lattice thermal resistivity caused by phonon-phonon scattering. With increasing temperature this term becomes dominant. One pair of A and B constants can be obtained for each solid solution composition by polynomial fitting of the thermal resistivity versus temperature data (Table 1). Moreover, MD calculated values are also fitted to above equation to determine the parameter  $C_{p0}$  also shown in Table 1.

### d) Oxygen Diffusion

The NEB calculated oxygen vacancy migration energies ( $E_m$ ) for  $ThO_2$ ,  $UO_2$  and  $NpO_2$  are reported in Table 2. The  $E_m$  of  $ThO_2$  is highest and  $E_m$ 's of  $UO_2$  and  $NpO_2$  differ by only 0.018 eV. Grovers *et al.* [34] calculated the  $E_m$  of  $UO_2$  using nineteen different interatomic potentials and found that  $E_m$ 's lie between 0.3 and 0.5 eV. The NEB calculated  $e_m$  of 0.40 eV, obtained from the current potential, is in good agreement with those calculated earlier using different potentials as shown in the Table 2. Behera *et al.* calculated  $E_m$  for  $ThO_2$  using eight different interatomic potentials and found that  $E_m$ 's lie between 0.52 and 0.79 eV. Our NEB calculated  $E_m$  of 0.54 eV is within this range. Finally, Aidhy *et al.* calculated  $E_m$  for  $UO_2$ ,  $ThO_2$  and  $NpO_2$  and found very similar values, as shown in Table 2.

Table 2 also reports the energy gained or lost when an oxygen vacancy occupies a site close to a single dopant in an otherwise dopant free supercell. The binding energy of the oxygen vacancy to the dopant atom, and is defined as  $E_b = E(NN) - E(infinite)$ , where  $E(NN)$ , is the energies of the supercell in which the oxygen vacancy occupies a near neighbor (1<sup>st</sup> NN) and second near neighbor (2<sup>nd</sup> NN) site with respect to the dopant atom and  $E(infinite)$  is the energy of the supercell with only a dopant or only an oxygen vacancy is infinitely separated. These configurations are then used to identify the migration activation energies for an oxygen ion moving to the vacant site adjacent to the dopant cation. The presence of the dopant results in either small increase or decrease in activation energy depending on the ionic radius of the cations (Table 2).

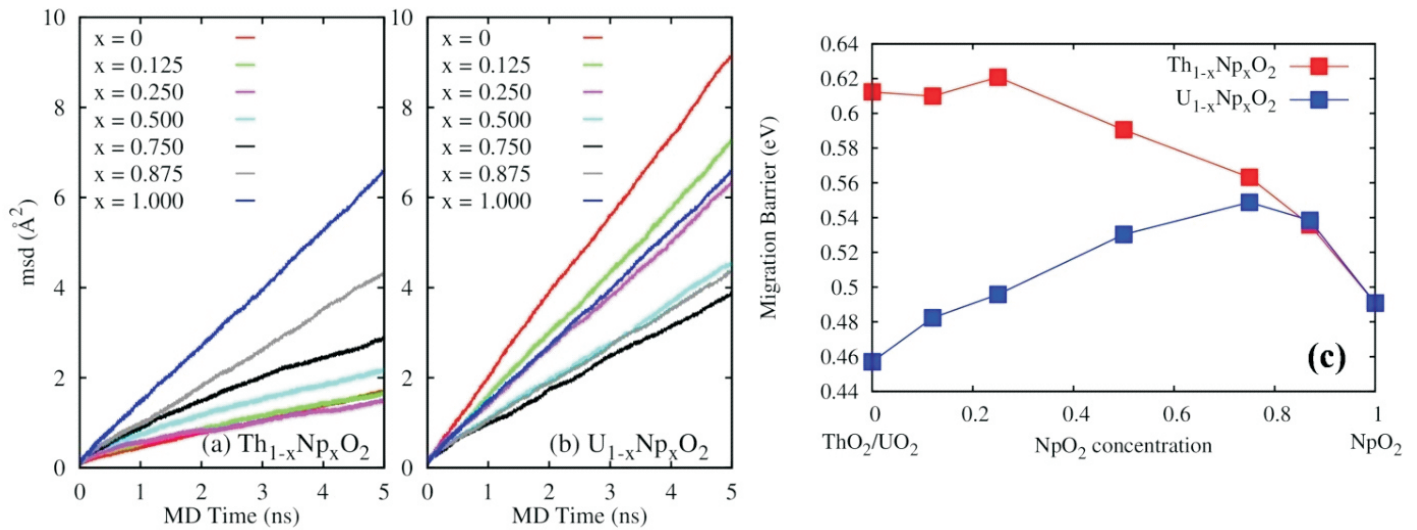
In order to determine oxygen diffusivity in  $Th_{1-x}Np_xO_2$  and  $U_{1-x}Np_xO_2$  MOX, we compare the mean square deviations (MSD) of pure  $ThO_2$ ,  $UO_2$ ,  $NpO_2$  and  $Th_{1-x}Np_xO_2$ ,  $U_{1-x}Np_xO_2$  MOX at five intermediate compositions calculated at 750 K, as shown in Fig.3 (a) and (b). We find that  $NpO_2$  has a higher MSD slope than  $ThO_2$ , which can be attributed to the higher  $E_m$  of  $ThO_2$  compared to any other oxide studied here (Table 2). Fig.3(a) also shows that the MSD slope

of  $Th_{0.125}Np_{0.875}O_2$  and  $Th_{0.25}Np_{0.75}O_2$  is almost equal to that of pure  $ThO_2$  implying an almost equal rate of oxygen diffusion in all cases. Table 2 shows migration and binding energies of an oxygen vacancy adjacent to  $Th^{4+}$  in  $NpO_2$  and  $Np^{4+}$  in  $ThO_2$ . The binding energy ( $E_b$ ) is negative in the case of  $Th^{4+}$  present as a dopant in  $NpO_2$  signifying that the oxygen vacancy does not favor the 1<sup>st</sup> NN position with respect to the  $Th^{4+}$  dopant ion in  $NpO_2$ . Conversely,  $E_b$  is positive in the case of  $Np^{4+}$  present as dopant in  $ThO_2$ . The migration barrier for oxygen increases from 0.419 eV in defect free  $NpO_2$  to 0.470 eV in the presence of single  $Th^{4+}$  at 1<sup>st</sup> NN to 0.583 eV when there are two  $Th^{4+}$  cations at 1<sup>st</sup> and 2<sup>nd</sup> NN site. This is consistent with the decrease in oxygen diffusion in  $NpO_2$  as a function of  $ThO_2$  concentration as shown in the MSD curve. The oxygen migration barrier decreases from 0.537 eV in defect free  $ThO_2$  to 0.413 eV in the presence of a single  $Np^{4+}$  at 1<sup>st</sup> NN and 0.250 eV when  $Np^{4+}$  cations are present at 1<sup>st</sup> and 2<sup>nd</sup> NN sites. The lower migration barrier with increasing  $NpO_2$  concentration is a consequence of the smaller ionic radius of  $Np^{4+}$  (0.98 Å) compared to  $Th^{4+}$  (1.05 Å) thereby providing more open space for oxygen ions to migrate. At a high concentration of  $NpO_2$  (*i.e.*  $Np_{0.25}Th_{0.75}O_2$ ) the lower oxygen diffusivity in  $ThO_2$  is compensated by the higher oxygen diffusivity in  $NpO_2$ , resulting in a MSD slope almost identical to that of  $ThO_2$ . Aidya *et al.* calculated oxygen diffusivity in  $(Th,Ce)O_2$  and  $(Th,U)O_2$  MOX and found that with increasing Ce or U concentration in  $ThO_2$ , oxygen diffusivity decreases due to the oxygen vacancy binding with Ce or U. Conversely, with increasing Th concentration in  $CeO_2$  or  $UO_2$  oxygen diffusivity decreases due to the increasing migration barrier, this is in agreement with our results.

**Table 2:** Oxygen vacancy migration energies ( $E_m$ ) calculated using static nudged elastic band (NEB) technique and binding energies ( $E_b$ ) in  $ThO_2$  when Np is present as a dopant (and vice versa) and in  $UO_2$  when Np is present as a dopant (and vice versa). The calculated  $E_m$  values for pure oxides ( $ThO_2$ ,  $UO_2$  and  $NpO_2$ ) are also compared with previous *ab-initio* and MD calculated values. Further,  $E_m$  is predicted from Arrhenius plot (diffusivity versus 1/temperature plot) by calculating mean square deviations (MSD) at different temperatures (from 750 to 2000 K in the interval of 250 K). 1<sup>st</sup> NN and 2<sup>nd</sup> NN refers to the near neighbour positions of the oxygen vacancy with respect to the dopant cation, 1<sup>st</sup> & 2<sup>nd</sup> implies two dopant ions in adjacent cation sites. The values of binding energies ( $E_b$ ) shown in parenthesis are calculated using DFT calculation.

System	$E_m$ (eV)	$E_m$ (eV)	$E_m$ (eV)	$E_m$ (eV)
	MD (this study) From Static NEB	MD (this study) From MSD	Ab-initio (previous study)	MD (previous study)
<b>UO<sub>2</sub></b>	0.401	0.393	0.67-1.34	0.3-0.5,0.391
<b>ThO<sub>2</sub></b>	0.537	0.525	1.97-2.16	0.52-0.79,0.530
<b>NpO<sub>2</sub></b>	0.419	0.423	-	0.42
	Binding Energy ( $E_b$ ) in eV		Migration Energy ( $E_m$ ) in eV	
	1 <sup>st</sup> NN	2 <sup>nd</sup> NN	1 <sup>st</sup> NN 1 <sup>st</sup> & 2 <sup>nd</sup> NN	
<b>ThO<sub>2</sub>:Np</b>	0.284(0.368)	0.000	0.4130.250	
<b>NpO<sub>2</sub>:Th</b>	-0.236(-0.984)	0.020	0.4700.583	
<b>UO<sub>2</sub>:Np</b>	0.111(0.468)	0.000	0.3710.360	
<b>NpO<sub>2</sub>:U</b>	-0.160(-0.066)	0.000	0.5180.521	

**Fig.3(b)** compares the MSDs of  $UO_2$ ,  $NpO_2$  and  $U_{1-x}Np_xO_2$  MOX compositions. The slope of the MSD curve for  $U_{0.875}Np_{0.125}O_2$  lies between those of  $UO_2$  and  $NpO_2$ . The higher MSD slope for  $UO_2$  can be attributed to the lower  $E_m$  in  $UO_2$  as compared to that in  $NpO_2$



**Fig.3:** Mean square displacement (MSD) of oxygen as a function of MD simulation time in (a) Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> and (b) U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> MOX calculated at 750 K. (c) The migration barriers ( $E_m$ ) of oxygen vacancy in Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> and U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> MOX as a function of NpO<sub>2</sub> concentration evaluated from MD calculated oxygen diffusivity as a function of temperature (Arrhenius plot).

(Table 2). With further increase of Np concentration, the slope of the MSD curve for U<sub>0.75</sub>Np<sub>0.25</sub>O<sub>2</sub> is almost equal to that of NpO<sub>2</sub>. The slope of the MSD curves for other U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> MOX compositions are less than that of NpO<sub>2</sub>. The migration barrier for the oxygen vacancy decreases from 0.401 eV in UO<sub>2</sub> to 0.371 eV in the presence of a single Np<sup>4+</sup> ion at 1<sup>st</sup> NN to 0.360 eV in the presence of two Np<sup>4+</sup> ions at 1<sup>st</sup> and 2<sup>nd</sup> NN. The lower migration barrier with increasing NpO<sub>2</sub> concentration is a consequence of the smaller ionic radius of Np<sup>4+</sup> (0.98 Å) compared to U<sup>4+</sup> (1.00 Å) thereby providing more open space for oxygen ions to migrate as discussed for (Th,Np)O<sub>2</sub> MOX. Moreover, the binding energy of the oxygen vacancy in UO<sub>2</sub> in the presence of Np as 1<sup>st</sup> NN is positive implying the oxygen vacancy prefers to stay as the 1<sup>st</sup> NN to the Np<sup>4+</sup> dopant ion in UO<sub>2</sub>. This leads to the continuous decrease of the MSD slope in U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> MOX going from UO<sub>2</sub> to NpO<sub>2</sub>. The binding energy of the oxygen vacancy in NpO<sub>2</sub> in the presence of U<sup>4+</sup> as 1<sup>st</sup> NN is negative. Also the migration barrier of oxygen via a vacancy mechanism increases from 0.419 eV in NpO<sub>2</sub> to 0.518 eV in the presence of single U<sup>4+</sup> ion at 1<sup>st</sup> NN to 0.521 eV with U<sup>4+</sup> present at 1<sup>st</sup> and 2<sup>nd</sup> NN sites. This is consistent with the MSD slope reducing with increasing UO<sub>2</sub> concentration.

In order to further assess oxygen diffusion properties of MOX the MSDs were calculated for 5 ns using a 10x10x10 supercell (containing 12000 atoms) for a temperature range from 750 K to 2000 K (in 250 K interval). Overall features of MSD curve describe at 750 K is valid for MSD curve at higher temperatures but spread in MSD value at the end of 5 ns reduces drastically at higher temperature. The rate of reduction is higher for U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> compared to Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> MOX. The MSD is related with oxygen diffusivity ( $D$ ) by the relation  $MSD = 6Dt$ , where  $t$  is the MD time and in this case  $t = 5$  ns. By assuming Arrhenius relationship the  $D$  is related to the migration energy ( $E_m$ ) as

$$D = D_0 \exp(-E_m/k_B T) \quad (5)$$

where  $D_0$  is the pre-exponential term,  $k_B$  and  $T$  is Boltzman constant and temperature, respectively. From the logarithmic plot of  $D$  as a function of  $1/T$ ,  $E_m$  is determined over the NpO<sub>2</sub> concentration in MOX and those values are shown in Figure 3(c). The  $E_m$  values of the oxides derived from the Arrhenius plot are also shown in Table 2 and those values are matching within 2.5% of  $E_m$  values determined

from static NEB calculations. Moreover the sequence of the  $E_m$  values of the oxides is consistent with that determined from NEB calculations. Fig.3(c) shows slight increase of  $E_m$  with increase of NpO<sub>2</sub> concentration for Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> MOX up to  $x=0.25$  followed by continuous decrease up to  $x=1.0$ . On the contrary, the  $E_m$  increases continuously with increasing NpO<sub>2</sub> concentration up to  $x=0.75$  followed by decreasing trend up to  $x=1.0$ . Fig.3(c) clearly shows nonlinear variation of the  $E_m$  with NpO<sub>2</sub> concentration and maxima lies around  $x=0.25$  and  $0.75$  for Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> and U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> MOX, respectively.

## Conclusions

Main conclusions of the present study are as follows:

**a)** The calculated  $\Delta H_{mix}$  of Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> MOX were positive compared to the end members and nearly symmetric around  $x=0.5$  and  $\Delta H_{mix}$  of AFM configuration is higher compared to FM configuration maximum by 0.19 kJ/mole. The  $\Delta H_{mix}$  of U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> MOX were negative up to U<sub>0.50</sub>Np<sub>0.50</sub>O<sub>2</sub> with maximum value of -1.21 kJ/mole at U<sub>0.4375</sub>Np<sub>0.5625</sub>O<sub>2</sub> whereas Np-rich (U,Np)O<sub>2</sub> MOX compositions exhibited  $\Delta H_{mix}$  close to zero. Value of  $\Delta H_{mix}$  for (Th,Np)O<sub>2</sub> are consistent with a simple miscibility-gap phase diagram while those for (U,Np)O<sub>2</sub> suggest a more complex behaviour. Nevertheless, lattice parameters variation with compositions till follows a Vegard's law relationship.

**b)** The calculated lattice parameter versus temperature curves of UO<sub>2</sub>, NpO<sub>2</sub> and U<sub>0.875</sub>Np<sub>0.125</sub>O<sub>2</sub> match well within 1% of high temperature XRD values. At higher compositions of NpO<sub>2</sub>, our MD calculated values are over prediction of high temperature XRD values (within 2%) throughout the temperature range. Linear thermal expansion coefficients (LTEC) of Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> increase with NpO<sub>2</sub> concentration; while LTEC of U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> decreases with NpO<sub>2</sub> concentration.

**c)** The degradation of thermal conductivity in U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> is far less significant compared to that in Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub>. This is governed by the fact that Np has very similar ionic radius and atomic mass as that of U than Th and defect-phonon scattering is less in U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub>. Therefore, generation of 6.25 atom% of Np (as minor actinide) degrades the thermal-conductivity of ThO<sub>2</sub>-base MOX fuel by 24.0-12.5 % in the 750-1000 K temperature range. Conversely, mixing of

Np with UO<sub>2</sub>-based MOX fuel up to by 50 atom% degrades the thermal-conductivity only by 13-2.3% in the 750-1000 K temperature range.

**d)** The oxygen diffusivities in Th<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> and U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub> MOX are calculated and are found to be higher in UO<sub>2</sub> and NpO<sub>2</sub> compared to that in ThO<sub>2</sub> due to lower oxygen migration barriers. With the addition of Th<sup>4+</sup> to NpO<sub>2</sub>, the diffusivity decreases due to the increase in the migration barriers introduced by a larger ionic radius of Th<sup>4+</sup> compared to Np<sup>4+</sup>. However, addition of Np<sup>4+</sup> to ThO<sub>2</sub> decreases oxygen diffusion due to oxygen vacancy binding with Np<sup>4+</sup>, even though the migration barriers decrease due to the smaller size of Np<sup>4+</sup> than the host Th<sup>4+</sup>. Similar observation can be made in U<sub>1-x</sub>Np<sub>x</sub>O<sub>2</sub>. Our MD calculated binding energies follow the trend in isolated oxygen Frenkel pair defect energies (O-FP<sub>isolated</sub>) of individual actinide oxides. Moreover, our MD calculated oxygen vacancy binding energy is consistent with the DFT calculated binding energies.

**e)** A database of thermal expansion, thermal conductivity and oxygen diffusion parameters has been developed which can be used to design new generation fuels.

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